

FORM HDP-1449 (Based on Form PTO-1449)  <b>PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  Sheet 1 of 1	ATTORNEY DOCKET NO. 3711-000105	SERIAL NO. <b>09/508778</b>
	APPLICANT Seifert et al	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,297,031	3/1994	Guttermann et al		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
1.		0 401 203	12/1990	EPO			
2.		WO 96/05563	2/1996	WIPO			
3.		WO 96/34357	10/1996	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
1.		"Online Stock Trading System: Study of An Application" - Computer Society International Conference. Feb. 23-27, 1987 (1 sheet) pp. 161 and 162
2.		"NORDEX: automated trading for Nordic equities"; Computers in the City 89 - Nov. 14 - 16, 1989 - pp. 53-61.
3.		"How Computer Assisted Trading Is Making The Toronto Stock Exchange Purr"; Wall Street Computer Review - December 1987, pp. 71-77, 97
4.		Copy of International Search Report dated February 3, 1999

Examiner: /Daniel Felten/

Date Considered: 01/03/2011